



Teachers' Retirement System of Louisiana

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Form 9R (3/08)

13-9R

Rollover / Trustee-to-Trustee Transfer Acceptance

Print in ink or type all entries except signatures. Rollovers and trustee-to-trustee transfers will be accepted by the Teachers' Retirement System of Louisiana (TRSL) to purchase service credit in TRSL if they are from a qualified plan under Section 401(a) or 401(k) of the Internal Revenue Code, a tax-sheltered annuity under IRC Section 403(b), a governmental 457 plan under IRC Section 457, or a "conduit" IRA under IRC Section 408(a). The funds must be eligible for rollover/transfer into a 401(a) defined governmental benefit plan. The amount rolled over or transferred cannot exceed the total cost of the service credit to be purchased. If the funds exceed the cost of the purchase, they will be returned. This completed form must accompany the funds. Otherwise, the funds will be returned, and no service credit will be given.

Section 1 — To be completed by the member

Name: Last, first, MI, suffix (Jr., III, etc.)

Street / P.O. Box

City, state, zip

Daytime telephone ()

Evening telephone ()

TRSL Account Number/Social Security Number

Grid for TRSL Account Number/Social Security Number

I authorize the transfer of my eligible funds to the Teachers' Retirement System of Louisiana for the purposes of purchasing service credit. I also authorize the release of any information by the current plan provider to facilitate this transfer.

Amount of funds to be transferred to TRSL: _____

Member's signature (do not print or type)

Date signed (mm-dd-yyyy)

Section 2 — To be completed by the Plan Provider who currently holds the funds

Plan provider name

Street / P.O. Box

City, state, zip

Plan type:

Plan type selection boxes: 401(a), 401(k), 403(b), 457, Conduit IRA, Other

Account number _____

Authorized representative

Title

Telephone

()

I certify that the funds being transferred to the Teachers' Retirement System of Louisiana are eligible for rollover/transfer and originate from a plan qualified under IRC 401(a), IRC 401(k), IRC 403(b), IRC 457, or a "conduit" IRA under 408(a), which contains funds from a qualified retirement plan under IRC Sections 401(a), 401(k), 403(b), or 457.

Authorized representative signature (do not print or type)

Date signed (mm-dd-yyyy)

Section 3 — TRSL acceptance

TRSL is a 401(a) tax-qualified defined benefit plan and will accept funds that are eligible for rollover or transfer into a 401(a) tax-qualified defined benefit plan to purchase permissible service credit from the Plan Provider who is listed above and currently holding the funds. Once the funds are received, and should they be determined as ineligible for rollover/transfer, they will be returned to the transferring entity.

TRSL authorized representative signature (do not print or type)

Date signed (mm-dd-yyyy)

Please read information on reverse side.

TRSL Use Only: Accepted: Rejected: Verifier's signature: Date: Comments:

TRSL Accepts Rollovers and Trustee-to-Trustee Transfers to Purchase Eligible Service Credit

Effective July 1, 1999, TRSL can accept a direct rollover or trustee-to-trustee transfer of eligible funds to purchase eligible service credit.

The member and current plan provider holding the funds must complete a *Rollover / Trustee-to-Trustee Transfer Acceptance* (Form 9R). This completed form must accompany the funds. If it does not, the funds will be returned and no service credit will be given.

All funds eligible for rollover/transfer into TRSL must be in the TRSL member's name.

Eligible funds are funds from another qualified 401(a) retirement plan, a "conduit" IRA qualified under Section 408(a) of the Internal Revenue Code, or funds from a 401(k) plan that are not excess contributions or hardship distributions.

A "conduit" IRA is an IRA that contains only funds that were rolled over from another qualified retirement plan and the interest earned on those funds. It cannot be commingled with any other IRA, and you cannot have added money to the "conduit" IRA. If you have commingled other money, it no longer qualifies as a "conduit" IRA and is not eligible for rollover into TRSL.

Effective January 1, 2002, TRSL will also accept funds from 403(b) accounts (tax sheltered annuities) and 457 accounts (deferred compensation) to purchase eligible service credit in accordance with the Economic Growth and Tax Relief Reconciliation Act (EGTRRA) of 2001.

The amount transferred cannot exceed the total cost of the purchase. If it does, the rollover/transfer check will be returned and no service credit will be issued.

If you have questions regarding funds eligible for rollover/transfer into TRSL, contact our office at (225) 925-6422.

Please complete Form 9R on reverse side.